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## Journal Self-Citation XIX: Self-Plagiarism and Self-Citation – A Practical Guide Based on Underlying Principles

Roger Clarke
Xamax Consultancy Pty Ltd, roger.clarke@xamax.com.au

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# Communications of the Association for Information Systems



Journal Self-Citation XIX: Self-Plagiarism and Self-Citation – A Practical Guide Based on Underlying Principles

Roger Clarke

Xamax Consultancy Pty Ltd

Roger.Clarke@xamax.com.au

#### Abstract:

When is it reasonable to reuse work of your own, particularly if it has already been published? And when is it appropriate to cite your own works, and when is it inappropriate? Rather than being mysteries, this paper suggests that basic principles of academic communication and professional ethics provide a framework for each of us to make our own decisions, and to evaluate actions taken by others.

Keywords: plagiarism, citations, references, publishers, editors, reviewers

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### Journal Self-Citation XIX: Self-Plagiarism and Self-Citation – A Practical Guide Based on Underlying Principles

#### I. INTRODUCTION

This special set of papers considers various aspects of a practice among some journals of requesting authors to add citations to previous articles in that journal - a practice referred to in this paper as 'journal self-referencing'.

Consideration of this practice in isolation is problematic. It is one facet of the general problem of how authors select content and references for inclusion in their papers. This contribution focuses on several other facets of the general problem, and demonstrates how basic principles give rise to practical solutions to all of them.

The paper commences by reviewing approaches to plagiarism generally and to authors' decisions about what references they should cite in a paper. It then dissects the notion of 'self-plagiarism', and shows how each of the various issues are amenable to solution by the application of some straightforward principles. Some forms of self-plagiarism can be better described as self-citation. The basic principles are applied to distinguish appropriate and inappropriate self-citation behaviour by authors and, finally, by journals.

#### II. APPROPRIATE CITATION AND PLAGIARISM

The context in which this contribution is set is the selection of content for inclusion in an academic paper. Among the vast number of guidelines for preparing research papers, most spend much more space on the accuracy of citations than on the more important and substantive question of how to decide which references to include and exclude. A small set of norms is postulated in Figure 1, based on review of a number of sources.

- 1. Works should be cited that support each key element of the exposition of existing theory
- 2. Works should be cited that have been influential in the formulation of the research question, the research method, and the argument
- 3. Works should be cited where the absence of a citation would (or arguably even could) represent an implicit claim of originality for an important idea
- 4. Works should not be cited unless they have direct relevance to one of the above criteria

#### Figure 1. Norms for the Inclusion and Exclusion of References

Although positive guidance about what to cite is in short supply, a great deal has been written on its complement: the negative concept of plagiarism. In Clarke [2006] the following definition of plagiarism was adopted, drawn originally from AVCC [1997]: "To plagiarize shall be understood to mean the presentation of the documented words or ideas of another as his or her own, without attribution appropriate for the medium of presentation. ... A researcher or reviewer shall not intentionally or recklessly ... plagiarize". From that definition, the elements of the notion of plagiarism (Figure 2) could be identified (text verbatim from Clarke 2006):

- 1. Publication: the <u>presentation</u> of another person's material, work, or idea. A pre-condition for plagiarism is that the new work is made available to others; personal notes are not at issue
- Content: the presentation of another person's material, work, or idea. A pre-condition for plagiarism is that some part of the new work is derived from someone else's prior or contemporaneous work
- 3. Appropriation: the presentation of another person's material, work, or idea <u>as one's own</u>. A precondition for plagiarism is that the claim of originality of contribution is either explicit or implied by the manner of presentation; or the presentation may be such that the reader is reasonably likely to infer the work to be an original contribution
- 4. Lack of credit given: the presentation of another person's material, work, or idea as his or her own, <u>without appropriate attribution</u>. A pre-condition for plagiarism is that the reader is not made aware of the identity of the originator, nor of the location of the original contribution.

#### Figure 2: Elements of the Notion of Plagiarism

"The wrong in plagiarism lies in misrepresenting that a text originated from the person claiming to be its author when that person knows very well that it was derived from another source. ... The plagiarist tries to take undeserved credit for an accomplishment that belongs to another person" [Samuelson 1994, p. 24]. Plagiarism represents failure to cite when a citation should have been provided. Many sources imply that all such failures are worthy of the academic equivalent of capital punishment. The contrary position was argued in Clarke [2006]: the seriousness of an act of plagiarism varies a great deal, and along multiple dimensions.

This paper's focus is not on plagiarism *per se*, but on 'self-plagiarism'. Uses of the term are generally intended to invoke the pejorative tone of the root word. However, as the following sections will make clear, the relationship between the two ideas is not simple.

#### **III. SELF-PLAGIARISM**

The term *self-plagiarism* is often used without sufficient care about its meaning. This section identifies multiple variants of the practice, within two broad categories.

#### **Self-Plagiarism through Failure to Provide Attribution**

Based on the definition of plagiarism discussed in the previous section, self-plagiarism can be defined as "the *re*-presentation of the documented words or ideas of *oneself*, *without appropriate attribution*".

The following variants can be usefully distinguished, based on the scale of the material that is re-presented.

#### Self-Plagiarism of a Work

Occasional examples come to light of a paper being published in identical form, in two journals. Multiple publication is *prima facie* a grievous breach of ethics, because it represents fraudulent claims of originality. The breach is all the more serious if there is evidence of active intent to defraud, such as a different title or abstract, but an identical body.

In Hexham [1992] multiple publication is referred to as 'recycling fraud' because, "the argument, examples, evidence, and conclusions remain the same without the development of new ideas or presentation of additional evidence. In other words it is recycling fraud when two works only differ in their appearance but are presented as separate and distinct works". For Roig [2006, pp. 17-18] it is 'redundant publication' or 'duplicate publication', which he notes is a problem in biomedical literature. In some contexts, "multiple publication of the same scientific work in more than one journal [is designated as] a serious deviation from accepted practices and as actionable misconduct" [Samuelson 1996, p. 25; referring to a law journal article that ascribed the point to the U.S. National Science Foundation].

Even with this fairly extreme form of breach, however, some mitigating factors may exist. One example is a multiauthored paper, with different authors submitting separately to different journals. However, it would be expected that such a misunderstanding would be caught before it resulted in publications in two separate journals. Another more reasonable scenario is submission to the editors of two journals in distinctly different areas (e.g., one journal tightly focused on a discipline, and another that adopts a multidisciplinary approach to a research domain). This duplication demands declaration at the time, by the author, to both editors. If both editors in due course accept the paper for publication, each needs to declare the parallel publication to the journal's readers. Similarly, publication in a second journal of an article directly translated from an original, or translated with only limited enhancements, requires declaration by the author to the editor, and to the readership [Roig 2006, p. 18].

A special case in this category is the presentation of a conference paper together with submission to a journal, commonly after the conference but possibly in parallel with it. The norms vary among disciplines and among journals. As noted in Collberg and Kobourov [2005, p. 91], ACM and IEEE have formal policies on the matter, with ACM indicating 25 percent new material as a norm. The policies of *JAIS* and *CAIS*, on the other hand, are merely that "the authors must certify that the manuscript ... is not currently under review in any other journal or conference". On the surface, *JAIS* and *CAIS* accept post conference submissions, but reject parallel submissions (although the policy does not preclude an author putting forward a case that a particular paper justifies an exception being made).

Moreover, conferences with high standing frequently have arrangements in place with journals for post conference publication, including fast-tracking. A common expectation is that the paper submitted to the journal will have been further developed, to reflect feedback received in the interim. It remains to be seen whether the maturation of electronic publishing will result in major changes to both journal papers and journals, and perhaps a gradual merger of conference and journal venues [Clarke and Kingsley 2008].

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A further special case can be reasonably referred to as 'formal re-publication', e.g., in scholarly books that gather together previously published papers on a theme or are collections of papers for use as a textbook. Re-presentation in and of itself is no sin, provided that the fact that it is a re-presentation is declared at the outset to the (re-) publisher, and to the reader. (If the author is not the copyright owner, a licence is also needed, of course). Declaration in such cases cannot be achieved through a citation and an entry in the reference list. A separate declaration is needed, preferably both in the editor's introduction and before or after the text of the article.

#### Self-Plagiarism of a Substantial Portion

A new paper may use a 'substantial portion' of a prior work. Examples include:

- the outline description of the research program/project
- · the rehearsal of the theoretical foundations
- the depiction of the research method adopted

The term 'substantial portion' has been adopted here in full knowledge that it has a meaning in copyright law. Copyright law is distinct from the primarily ethical issue of plagiarism [Clarke 2006]. This paper focuses on ethical issues, and generally leaves the separate legal questions to one side. In this case, if the author is not also the copyright holder (e.g., if the copyright was assigned to the publisher of the journal in which the original paper appeared), then re-publication of a 'substantial portion' will likely be in breach of the publisher's copyright unless a licence to do so is sought and obtained.

Where a substantial portion of a previous work is re-published, it is unequivocally necessary for reference to be provided to the previously published work. It should be clear from the text, to the reviewer, the editor, the publisher and the reader, which portions were published previously.

This is very common in several circumstances. One is the phenomenon of 'one research program begets multiple papers', which arises because a proportion of each paper (such as the background to the study) is common to all of them. Another circumstance is publication of closely related material in two venues for two very different audiences, e.g., in academe and in professional practice; in two distinct disciplines; or in a discipline and a multi-disciplinary research domain. Samuelson [1994] addresses this situation in some depth.

Publishers, editors and reviewers may express concerns about a variety of forms of re-publication of a substantial portion of a work. A vignette is presented in Appendix 1, drawn from one specific incident that confronted the author. Over an extended period of time, the author has encountered nervousness in relation to the following factors:

- Presentation of information (in the form of presentation slides) at a series of research seminars at universities, and/or at a series of professional seminars, around a country, or around the world
- Presentation of information in a consultancy report. A professional discipline such as IS needs to have *Grenzübergänger* (or 'boundary-spanners') who operate on both sides of the 'town and gown' boundary. Co-publication of this nature must not be precluded, but rather carefully handled
- Publication of working papers and early drafts in 'departmental working paper' series, published in paper form
- Publication of working papers and early drafts as preprints, published in electronic form on the author's own Web site. (Preprints are a concern of some legitimacy in the case of a personal Web site such as this author's, which attracts 4 million hits p.a. and accordingly sorts high in search engine lists)
- Publication of working papers and early drafts as preprints, published in an open access repository at departmental or university level, or in a disciplinary repository such as AIS's Sprouts initiative
- Presented at an unrefereed conference
- Presented at a refereed conference
- Presented at an unrefereed conference and published in informal proceedings available on an open access Web site
- Presented at a refereed conference and published in informal proceedings available on an open access Web-site

It can be reasonably anticipated that journals will evidence quite varied behaviour in relation to such questions during the coming years, destabilised as they are by rapid changes in electronic publishing and diversity among

journal publishing business models. As discussed in Clarke and Kingsley [2009], there is a very real risk that the promise of open and early accessibility of research enabled by the digital and Internet revolutions may not be fulfilled. Desirable change is being undermined by conservative attitudes among many journal publishing organisations. These attitudes arise from their desire to sustain business processes and the scale of resourcing that is still attuned to an earlier era of high revenues from captive library subscriptions.

#### Self-Plagiarism of a Small but Significant Portion

The term 'substantial' is vague, but implies both quantity and substance. In some circumstances, a small quantity may nonetheless be of sufficient substance that attribution is warranted, if only as a matter of self defence. Such 'small but significant portions' include critical passages of text, a critical concept, even a key phrase or neologism, but particularly key diagrams.

#### Self-Plagiarism of a Small and Insignificant Portion

Attribution in the form of a citation is unnecessary in the case of other short passages, simple diagrams, segments of diagrams, phrases, or unremarkable neologisms. Moreover, unless there is a reason for a citation to be provided (as defined in Figure 1), it is unethical to do so because it would be of the nature of patronage, or more precisely 'self-patronage'.

An important inference from the above discussion is that there is a fine line between an inappropriate failure to attribute to one's own prior work and inappropriate attribution to one's own prior work. Professional jealousies play an important role in preventing individuals from, in effect, recommending themselves into a respected position within a discipline. Reputation is earned, not promulgated, and standing is granted by one's seniors and peers, not asserted.

#### **Self-Plagiarism through Unjustifiable Attribution**

A further form of inappropriate behaviour that is sometimes referred to as 'self-plagiarism' is a little different from that described in the previous sub section. This category can be defined as 'the <u>excessive or unjustified re-presentation</u> of the documented words or ideas of <u>oneself</u>, with appropriate attribution'.

It differs significantly from the previous category in the following ways:

- attribution is provided
- however, citation is not appropriate, in particular because more relevant authorities are available

As with plagiarism in general, care is needed before a conclusion is reached that a particular instance is appropriately classified into this category of self-plagiarism. In many circumstances, reuse of material is justified on the grounds that appropriate, precise and clear expression has been previously prepared, and is as relevant in the new paper as it was in the paper in which it was originally published. An important example of such a circumstance is exposition of a particular aspect of existing theory, particularly an aspect of theory that was an original contribution by that author in a previous work. Another example is the description of the research method used in a project which gave rise to multiple articles.

It is not uncommon in the IS discipline for a single body of empirical data to be used as a basis for multiple papers. Care is needed, however. Roig [2006, pp. 19-20] refers to such reuse disparagingly as 'salami-slicing'. Referring to the biomedical literature, he describes 'data fragmentation' as a practice whereby the quality of research reporting is reduced because a body of knowledge that would have been valuably communicated in a single paper was separated into two or more papers. He also criticises 'data augmentation', where a second article reports on data from a follow up study in combination with data from an earlier study. In each case, the sin is the failure to make the facts clear to the reader (and, from the outset, to the editor and reviewers). If, however, a paper makes a sufficient contribution and refers to and cites prior papers in the series, Roig's criticism of 'salami-slicing' must be at least moderated and possibly entirely withdrawn.

A particular challenge arises when an author wishes to reuse material that is in an earlier working paper or preprint, but that paper has yet to be formally published. On one hand, it lacks the authority of a previously published refereed work. On the other hand, to omit it would be to implicitly claim originality. Where an author decides it is appropriate to reuse material in such circumstances, a citation to the working paper or preprint should be provided, and a note included in the reference list to the effect of 'currently before the referees of <journal-name>/<conference-name>'.

As with the previous category of self-plagiarism, application of the norms identified in Figure 1 is sufficient to enable authors to judge whether or not it is reasonable to incorporate material from their own earlier publications.

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#### **IV. SELF-CITATION**

The various malpractices discussed in Section III under the heading of self-plagiarism range from failure to provide attribution when it was necessary to do so to provision of attribution when it was not justified. At the extremity, it therefore becomes a question of the appropriateness of self-citation. This section considers the ethics firstly of authors citing their own prior works, and then returns to the theme of journal self-referencing.

#### Self-Citation by Authors

Authors seek to create and sustain their 'personal brand' by being noticed. An author can be noticed because of what they publish, how often they publish, the locations in which they publish, the specific citations that their publications garner in later papers, and overall citation counts.

Self-citation offers some attractions as part of the brand management process. It draws previous papers to the attention of reviewers, editors and (if the new paper is accepted for publication) further readers. Depending on the rules applied by the analyst, they may be included in citation counts. (They are, for example, in Google Scholar counts). Moreover, as discussed in Section III, there are circumstances in which self-citation is necessary, and others in which it ought to be at least permissible.

On the other hand, self-citation is generally regarded with distaste by reviewers and editors because it smacks of self-promotion and carries with it risks similar to the less acceptable forms of self-plagiarism discussed earlier. The considerations involved were presented in a reflective editorial in a specialist journal [Readence and Barone 1996].

The norms identified in Figure 1 can again be applied to provide guidance:

- From the viewpoint of academic communication, it is essential that each paper provide a coherent rendition of the theoretical foundation on which the work is based, of the research method used, of the analysis undertaken, and of the argument used to reach conclusions
- From the viewpoint of professional ethics, it is essential that due recognition be given to the sources that motivated, guided, and influenced the work, and that the origins of major ideas on which the work depends be formally acknowledged, so as to avoid any accidental claim of originality

These principles are as applicable to the author's own works as to those of others, with the exception that 'argument from authority' needs to be conducted somewhat more cautiously. It is incumbent upon the reviewers to scrutinise such citations a little more carefully in order to identify gratuitous mentions, misleading suggestions of intellectual dependency, and invocation of unrefereed sources invested with spurious authority. Reviewers can reasonably be expected to be especially harsh on an author who commits more than minor transgressions of these kinds. Rather than building brand, such practices seriously undermine it.

Self-citation has an important implication for blind reviews. Such reviews have been adopted by many journals, with the expectation that reviews will be of higher quality if the reviewer can be prevented from knowing the identity and affiliation of the author. However, one of the inadequacies that reviewers need to filter out is inappropriate self-citation. It is therefore important that reviewers see not only which citations are to the author's own papers, but also the venue in which those papers were published and, in some circumstances, the title and even the content of the cited papers. Yet the blind review norm actually prevents reviewers from performing this function because it demands replacement of all details of self-citations with an empty 'AUTHOR (Year)' entry. In short, the term 'blind review' is appropriate in a manner that its proponents never intended: it ensures that the reviewer is blind to inappropriate self-citation, and hence *reduces* the quality of reviews in the important area of self-citation.

As a reviewer for venues that prefer blind review, I endeavour to respect the express or implied request that I not consider the author's identity as a factor in the review. However, if after the first reading of the paper I judge that the author's prior work is important to the new paper, I actively seek out the prior literature (by means of searches on keywords, informed by my knowledge of the field). I can usually identify the relevant works and, in the process, inevitably become aware of the author's identity. I complete my review, taking the relevant aspects of the prior work into account. I then declare the facts to the editor/program chair, and leave it to them to use, de-value or reject the review as they see fit. I acknowledge that this practice raises ethical issues, but I contend that the sanctity of the nominal blindness to author identity is a lower order value than the quality of the review.

#### Self-Citation by Journals

Journal editors also need to build and sustain brand. They therefore have an incentive to ensure that new papers cite previous papers in the same journal. That has direct benefits (because the journal's use as an authority will be

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noticed by readers) and indirect benefits (because it will increase citation counts for previous papers and the journal as a whole).

Applying the norms expressed in Figure 1 to this context leads to the conclusion that there are forms of behaviour motivated by that incentive that are ethical, in particular:

- a general recommendation in the journal's published instructions for authors that intending authors review prior issues of the journal for any relevant literature on their paper's topic
- a specific suggestion by a reviewer that the author consider the relevance of a particular paper as part of the revision process – provided, of course, that the previous paper is actually pertinent to the new paper's theme

Equally, the norms lead to the conclusion that it is unethical behaviour:

- for a reviewer or editor to suggest citation of an insufficiently relevant previous paper
- for an editor or publisher to require that an insufficiently relevant previous paper be cited
- for an editor or publisher to require that some minimum count of previous papers in the journal be cited

A separate contribution in this set of papers [Clarke et al., 2009] considers the question of the application of the AIS Code of Research Conduct to misbehaviour of those kinds.

#### V. THE REGULATION OF SELF-PLAGIARISM AND SELF-CITATION

A range of possible approaches can be taken to dealing with the various forms of misbehaviour discussed in this paper. Formal legal approaches are largely unavailable, unlikely to become available, and in any case, undesirable. Progress is more likely to be achieved through refinements to existing, semiformal processes within the discipline.

The primary approach is through the quality assurance process. Reviewers and editors need to be sensitive to the issues and norms, apply the principles, and ensure that authors apply the principles.

A key means of ensuring ongoing visibility of the principles is through encapsulation in the AIS Code of Research Conduct [AIS 2003]. Further, the terms of the Code need to be binding on all AIS members, processes need to exist whereby accusations of inappropriate behaviour can be investigated, and mechanisms are needed whereby members who commit serious breaches are sanctioned.

The current version of the AIS Code expressly addresses self-plagiarism of works, saying:

Do not submit for publication or presentation articles or papers you have already published elsewhere. ... you should not submit a manuscript which is identical or very similar to work you have published previously (or which has been accepted elsewhere for publication). ... There are naturally exceptions to the above guidelines for reprints of an article in an edited collection or book. ... Presenting a paper at a conference to obtain comment and discussion, and then later revising the paper for submission to a journal is another legitimate exception. However, in such cases, prudence suggests that you alert the editor in your submission letter and in the article draw the reader's attention to the conference paper ... [AIS 2003].

The 2009 revision to the Code, currently in process, broadens the expression somewhat, to "Do not make misrepresentations to editors and conference program chairs about the originality of papers you submit to them" [AISTF 2008b]. It retains the substance of the Code and expands the advice about exceptions.

The Code also addresses self-plagiarism of substantial portions, saying:

... you should not attempt to build a new article largely from a re-working of your previous publications. Even this advice is subject to exception - as when a scholar re-weaves the threads of previous thought to reveal new patterns, perspectives or insights, or seeks to provide a comprehensive summary or "state of the art" report on a particular research stream [AIS 2003].

The 2009 revision clarifies the context within which the exceptions arise, by adding the qualification 'unless there is a sufficient new contribution' [AISTF 2008b].

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The major contribution of the revised Code is the recommendation to AIS Council and the membership that all members be bound by the Code, in all of the roles that they play [AISTF 2008a]. This proviso ensures not only that authors, but also reviewers and editors should shortly become subject to institutionalised controls over the inappropriate aspects of self-plagiarism and self-citation discussed in this paper.

#### VI. CONCLUSIONS

The practice of journal self-referencing can be better appreciated by viewing it as a particular instance of a broad notion of self-promotional activities that authors, as well as editors, are tempted to engage in.

The analysis conducted in this paper demonstrates that these practices do not exist in a theory-free vacuum. Existing knowledge can be readily applied to the range of ethical challenges that confront authors and editors alike. With the maturation of the discipline, norms are increasingly clear and they are being codified into a quasi-legal instrument, the AIS Code of Research Conduct. That Code provides a basis on which each of us can consider our own possible courses of action in advance, and evaluate the actions of others.

#### **ACKNOWLEDGEMENTS**

This paper is a distillation of ideas arising during professional practice in the IS discipline over three decades. I've benefitted greatly from discussions with colleagues who have been active in this and related areas, from multiple interactions with Robert Davison and his hand-chosen discussion-partners on several ICIS panels, and recently from working with Robert and Cynthia Beath on revisions to the AIS Code of Research Conduct. I was stimulated by the posting by the Editor that gave rise to this special set of papers. The large number of thoughtful responses to it confirmed my long standing impression that, although abstract discussions about ethics interest only a small proportion of IS researchers, 'people know unethical behaviour when they see it'.

#### REFERENCES

*Editor's Note*: The following reference list contains hyperlinks to World Wide Web pages. Readers who have the ability to access the Web directly from their word processor or are reading the paper on the Web can gain direct access to these linked references. Readers are warned, however, that:

- 1. These links existed as of the date of publication but are not guaranteed to be working thereafter
- 2. The contents of Web pages may change over time. Where version information is provided in the references, different versions may not contain the information or the conclusions referenced
- The author(s) of the Web pages, not AIS, is (are) responsible for the accuracy of their content
- 4. The authors of this article, not AIS, are responsible for the accuracy of the URL and version information
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#### **SOURCES**

Given the topic addressed in this paper, it appeared to the author to be particularly appropriate to ensure that the instances of self-plagiarism were readily apparent, and to segregate the self-citations from the other references.

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#### **APPENDIX 1: SELF-PLAGIARISM VIGNETTE**

An accusation was made against this author by a reviewer of a paper I had submitted to a refereed conference. The reviewer's concern was that "an almost identical version of the paper [had been] submitted at another workshop". As a member of editorial advisory boards and conference program committees (and as an occasional author of papers on topics in the area of research ethics), it was clear that I needed to respond promptly and precisely.

The paper in question was one of a number I had prepared in the area of online authentication. One of the series had been an invited paper for a symposium of the (U.S.) National Academy of Science, and another had been presented at an international refereed conference and published in the proceedings.

I had prepared two further working papers in the series, which I had published on my Web site (as I have every paper that I have written since mid-1994). I had notified the URL to colleagues and specialist lists, and the Webpages had attracted moderate numbers of hits. Variants of the material had been presented at seminars in ten Australian cities and in four other countries, and elements of it had appeared in various reports to my consultancy clients.

I prepared a shorter version of the second working paper and submitted it to a highly specialised international workshop. It was rejected with little comment from the referees. (It was an engineering event, and limited comment is not uncommon in that community). Some months later, I submitted to the conference in question a paper that was only editorially different from that which had been rejected by the workshop.

The referee was therefore justified in saying that "an almost identical version of the paper [had been] submitted at another workshop." But a number of further facts were relevant:

- It had been rejected by that workshop, with little in the way of substantive comments from referees
- The two main papers on which it was based had been published only in the sense of having been on my Web site for more than one year
- Although elements of the paper had been presented (some of them on multiple occasions), the paper had not been published
- The submitted paper was a much tighter and enhanced version of the working paper
- All of the prior papers (both published and working) had been referenced in the paper submitted to the conference

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I submitted to the program chair that, in my experience, exposure of a work-in-process in such forms as seminars and departmental working papers (and their modern equivalent, preprints) is not normally regarded as prepublication for the purpose of publication in conference proceedings or a journal. Further, I had never heard of the rejection of a submission to a journal, conference, or workshop precluding resubmission somewhere else. The author should, of course, reflect new information that becomes available from commentators, especially the referees who recommended its rejection. However, particularly in the absence of substantive and constructive criticism, nothing precludes resubmission of an as yet unloved paper in the same form as that in which it was previously rejected.

The program committee accepted my explanation. They also accepted the paper for the conference, subject to changes recommended by the referees.

#### **ABOUT THE AUTHOR**

Roger Clarke is a 40 year veteran of the IT industry and a 35 year veteran of the IS discipline, with a publications list dating back to the 1970s. He has worked intensively in a number of topic areas and has, on multiple occasions, revisited topic areas many years later. He publishes across multiple disciplines, and in both academic and professional literatures. For all of these reasons, he has long been an inveterate self-citer and an inveterate re-user of his own material. Further spice is added to the mix by the fact that one of the topic areas in which he has long published is professional ethics. As a result he has been frequently challenged to 'do the right thing,' most commonly by his own conscience and his preference to 'build his brand' rather than damage it, but also on occasions by colleagues, reviewers, conference chairs, and journal editors.

Roger Clarke is principal of Xamax Consultancy Pty, Ltd., Canberra. He is also a Visiting Professor in the Cyberspace Law & Policy Centre at the University of New South Wales, a Visiting Professor in the eCommerce Program at the University of Hong Kong, as well as a Visiting Professor in the Department of Computer Science at the Australian National University. His primary expertise is strategic and policy aspects of eBusiness, information infrastructure, and dataveillance and privacy.

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